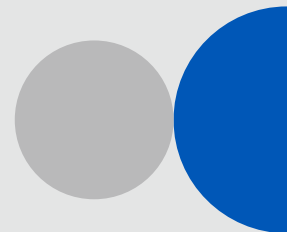


# Individual Investor: Account Opening Form



Please complete this form in **BLOCK CAPITALS** and return it to the Aberdeen Investments Shareholder Service Centre: c/o International Financial Data Services Luxembourg S.A., 49, Avenue J. F. Kennedy, L-1855 Luxembourg, Grand Duchy of Luxembourg Tel: (+352) 46 40 10820 Fax: (+352) 245 29056 Tel (UK): (+44) 1224 425255 Email: asi\_luxembourgcs@statestreet.com

## 1A – Applicant Details

If you are an existing investor, please provide us with your Account Number (if known):

### Designation

If you wish to open a second or subsequent account with identical registration details, a designation is required to differentiate between them. Designations cannot take name format. Numbers or initials only.

In no more than 15 characters eg. A/CXYZ12345:

Correspondence will always be sent to the first named Applicant, unless stated otherwise in writing.

### 1st Applicant

|                                              |                       |
|----------------------------------------------|-----------------------|
| Title (Mr/Mrs/Miss/Ms/Other):                | Forenames:            |
| Surname:                                     | Nationality:          |
| Occupation:                                  | Gender:               |
| If retired, please indicate last profession: | Employment Sector:    |
| Permanent residential address:               |                       |
|                                              |                       |
|                                              |                       |
| Postcode:                                    |                       |
| Place of Birth (City & Country):             | Country of Residence: |
| Date of birth:                               | Daytime telephone:    |
| Email address:                               | Fax:                  |

### Source of wealth – mandatory:

Originate from:

- |                                                 |                                                           |
|-------------------------------------------------|-----------------------------------------------------------|
| A. Employment Income <input type="checkbox"/>   | D. Sale of securities investment <input type="checkbox"/> |
| B. Inheritance <input type="checkbox"/>         | E. Savings <input type="checkbox"/>                       |
| C. Sale of Real Estate <input type="checkbox"/> | F. Other <input type="checkbox"/>                         |

**Mandatory:** Please provide more details in relation to the option selected, for example source of savings, date and amount of inheritance, date of sale of property/securities:

Business purpose:

## 1A - Applicant Details - continued

### Estimated trading frequency:

Daily ☐ Monthly ☐  
Weekly ☐ Ad-hoc ☐

### 2nd Applicant

|                                              |                                |
|----------------------------------------------|--------------------------------|
| Title (Mr/Mrs/Miss/Ms/Other):                | Relationship to 1st Applicant: |
| Surname:                                     | Forenames:                     |
| Occupation:                                  | Nationality:                   |
| If retired, please indicate last profession: | Employment Sector:             |
| Permanent residential address:               | Gender:                        |
|                                              |                                |
| Postcode:                                    |                                |
| Place of Birth (City & Country):             | Country of Residence:          |
| Date of birth:                               | Daytime telephone:             |
| Email address:                               | Fax:                           |

### Source of wealth - mandatory:

Originate from:

A. Employment Income ☐ D. Sale of securities investment ☐  
B. Inheritance ☐ E. Savings ☐  
C. Sale of Real Estate ☐ F. Other ☐

**Mandatory:** Please provide more details in relation to the option selected, for example source of savings, date and amount of inheritance, date of sale of property/securities:

### Business purpose

### Estimated trading frequency:

Daily ☐ Monthly ☐  
Weekly ☐ Ad-hoc ☐

In case of multiple Applicants, we wish for correspondence to be sent to:

1st Applicant ☐ 2nd Applicant ☐

## 1B - Financial Advisor Details (if applicable)

### For completion by Financial Advisers only

(please refer to Section 9)

If you are applying via a Financial Adviser/Agent with whom you have entered into an agreement to effect transactions on your behalf, please ensure your Financial Adviser/Agent confirms your identity, by ticking the 2 below boxes and then signing or stamping opposite:

- ☐ I/We certify that the identity of the above named applicant(s) has/ have been verified by me/us in accordance with the FATF recommendations on anti-money laundering regulations.
- ☐ I/We further declare that the documentary evidence to support the identification of and authorisation from the applicant(s) to effect transactions is held by me/us and will be provided to the Transfer Agent.

### Dated Financial Adviser Stamp or Signature

|                               |
|-------------------------------|
| Company Name:                 |
| Address:                      |
| Regulated By:                 |
| Regulation No.:               |
| Financial Adviser/Agent Name: |
| Signature:                    |

## 1A – Applicant Details – continued

For completion by all Applicants: There must be enclosed with this form, a copy of the passport or identity card of the applicant, certified by an officer of FATF Regulated Financial Institution. The certification must show the official stamp, date and signature, full name and a position of the certifying authority, where certification by a regulated financial institution is not possible, documentation must be certified as a true copy of the original by an Embassy or Consulate Official, Notary, Solicitor, Barrister, Licensed Lawyer or Police Officer. Other documents may be requested upon application of shares.

## 2 – Investment Details

For a list of available ISIN codes and Share Classes please visit our website [www.aberdeeninvestments.com](http://www.aberdeeninvestments.com). This information can be located within the KID/KIID section. Please refer to the abrdn SICAV I Prospectus for details of the minimum investment in each share class.

| Fund Names | ISIN | Types of Share |                        | Currency | Investment Denomination |                  |
|------------|------|----------------|------------------------|----------|-------------------------|------------------|
|            |      | Share Class    | Accumulation or Income |          | Cash Amount             | Number of Shares |
|            |      |                |                        |          |                         |                  |
|            |      |                |                        |          |                         |                  |
|            |      |                |                        |          |                         |                  |
|            |      |                |                        |          |                         |                  |
|            |      |                |                        |          |                         |                  |
|            |      |                |                        |          |                         |                  |
|            |      |                |                        |          |                         |                  |
|            |      |                |                        |          |                         |                  |
|            |      |                |                        |          |                         |                  |
|            |      |                |                        |          |                         |                  |

## 3A – Bank Details for Subscriptions

Please provide your bank details for subscriptions and ensure that the bank account is in the name of the applicant as we are not permitted to process 3rd party payments.

|                  |                           |
|------------------|---------------------------|
| Bank Name:       | Account Name:             |
| SWIFT/Sort Code: | Account Number/IBAN:      |
| Bank Address:    | Pay through Bank:         |
|                  | Pay through Bank Address: |
|                  | SWIFT/Sort Code:          |
| Country:         | Country:                  |

Subscription banking details should detail where the funds have originated from

## 3B – Bank Details for Dividends

**Dividends will be reinvested automatically in additional shares of the same class of the relevant sub funds unless otherwise indicated below. This section is only applicable for Applicants investing into MInc, QInc, SInc, and AInc share classes of abrdn SICAV I<sup>A</sup>.**

Please indicate how you would like to receive your dividends. If paid out, dividends will be credited directly to your bank account in the currency of the share class of the sub fund you are invested in. If reinvested, you will receive additional shares of the same share class of the relevant sub fund.

Re-invest dividends ☐ Pay out dividends ☐

To receive dividend pay outs in a different bank account from your subscriptions (Section 3A), then please fill in the below<sup>A</sup>.

|                  |                           |
|------------------|---------------------------|
| Bank Name:       | Account Name:             |
| SWIFT/Sort Code: | Account Number/IBAN:      |
| Bank Address:    | Pay through Bank:         |
|                  | Pay through Bank Address: |
|                  | SWIFT/Sort Code:          |
| Country:         | Country:                  |

<sup>A</sup> Please note: dividend and redemption payments can only be made to a bank account in the same name(s) as detailed in section 1 on this application.

### 3C – Bank Details for Redemptions

To receive redemptions in a different bank account from your subscriptions (Section 3A), then please fill in the below<sup>A</sup>.

Redemption proceeds will be credited directly to your bank account in the share currency.

|                  |                           |
|------------------|---------------------------|
| Bank Name:       | Account Name:             |
| SWIFT/Sort Code: | Account Number/IBAN:      |
| Bank Address:    | Pay through Bank:         |
|                  | Pay through Bank Address: |
|                  | SWIFT/Sort Code:          |
| Country:         | Country:                  |

### 4 – Subscription Payment Details

Payments should be made by Telegraphic Transfer from an account that is regulated for FATF purposes.

|                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>US Dollars</b><br>Bank of America N.A.<br>100 West 33rd Street<br>New York<br>NY 10001<br>SWIFT Address: BOFAUS3N<br>ABA Routing Code: 026009593 FED<br>CHIPS: 0959<br>Account Name: abrdn SICAV I<br>Account Number: 6550-8-67902<br>Reference: abrdn SICAV I +<br>Client Name and/or Contract<br>Number                                                         | <b>Japanese Yen</b><br>Bank of America N.A.<br>2-11-1 Nagatacho<br>Chiyoda<br>Tokyo 100-6115<br>Japan<br>SWIFT Address: BOFAJPJX<br>Account Name: abrdn SICAV I<br>Account Number: 21714012<br>Reference: abrdn SICAV I +<br>Client Name and/or Contract<br>Number                                                                                                    | <b>Euro</b><br>Bank of America N.A.<br>PO Box 11 02 43<br>D-60037<br>Frankfurt/Main<br>Germany<br>SWIFT Address: BOFADEFX<br>IBAN: DE56 5001 0900 0018<br>4560 10<br>BLZ Code: 500 109 00<br>Account Name: abrdn SICAV I<br>Account Number: 18456010<br>Reference: abrdn SICAV I +<br>Client Name and/or Contract<br>Number | <b>Swiss Francs</b><br>Bank of America, National<br>Association, Charlotte<br>Zurich Branch<br>Stockerhof, Stockerstrasse 23<br>8002 Zurich<br>Switzerland<br>SWIFT: BOFACH2X<br>IBAN: CH08 0872 6000 0307<br>8501 2<br>Account Name: abrdn SICAV I<br>Account Number: 601430785012<br>Reference: abrdn SICAV I + Client<br>Name and/or Contract Number |
| <b>Canadian Dollars</b><br>Bank of America N.A.<br>2 King Edward Street<br>London EC1A 1HQ<br>United Kingdom<br>SWIFT Address: BOFAGB22<br>IBAN: GB29 BOFA 1650 5080<br>4750 17<br>Branch Code: 6008<br>Account Name: abrdn SICAV I<br>Account Number: 80475017<br>Correspondent SWIFT: BOFACATT<br>Reference: abrdn SICAV I + Client<br>Name and/or Contract Number | <b>British Pounds</b><br>Bank of America N.A.<br>2 King Edward Street<br>London EC1A 1HQ<br>United Kingdom<br>SWIFT Address: BOFAGB22<br>IBAN: GB44 BOFA 1650 5023<br>7600 11<br>CHAPS Sort Code: 16-50-50<br>BACS Sort Code: 30-16-35<br>Account Name: abrdn SICAV I<br>Account Number: 23760011<br>Reference: abrdn SICAV I + Client<br>Name and/or Contract Number | <b>Australian Dollars</b><br>Bank of America N.A.<br>Level 63, MLC Centre<br>19-29 Martin Place<br>Sydney NSW 2000<br>Australia<br>SWIFT Address: BOFAAUSX<br>BSB (Bank Code): 232-001<br>Account Name: abrdn SICAV I<br>Account Number: 14511016<br>Reference: abrdn SICAV I + Client<br>Name and/or Contract Number       | <b>Hong Kong Dollars</b><br>Bank of America N.A.<br>Hong Kong Branch<br>17-F1 Devon House<br>979 King's Road<br>Quarry Bay<br>Hong Kong<br>SWIFT Address: BOFAHKHX<br>Account Name: abrdn SICAV I<br>Account Number: 82893-012<br>Reference: abrdn SICAV I + Client<br>Name and/or Contract Number                                                      |
| <b>Hungarian Forint</b><br>Bank of America N.A.<br>2 King Edward Street<br>London EC1A 1HQ<br>United Kingdom<br>SWIFT Address: BOFAGB22<br>IBAN: GB52 BOFA 1650 5023<br>7600 61<br>Account Name: abrdn SICAV I<br>Account Number: 23760061<br>Correspondent SWIFT:<br>BACXHUHB<br>Reference: abrdn SICAV I +<br>Client Name and/or Contract<br>Number                | <b>Czech Koruna</b><br>Bank of America N.A.<br>2 King Edward Street<br>London EC1A 1HQ<br>United Kingdom<br>SWIFT Address: BOFAGB22<br>IBAN: GB74 BOFA 1650 5023<br>7600 53<br>Account Name: abrdn SICAV I<br>Account Number: 23760053<br>Correspondent SWIFT:<br>KOMBCZPP<br>Reference: abrdn SICAV I +<br>Client Name and/or Contact<br>Number                      | <b>Singapore Dollar</b><br>Bank of America N.A.<br>50 Collyer Quay<br>14-01 Oue Bayfront<br>049321<br>Singapore<br>SWIFT Address: BOFASG2X<br>Account Name: abrdn SICAV I<br>Account Number: 58875019<br>Reference: abrdn SICAV I + Client<br>Name and/or Contract Number                                                   | <b>New Zealand Dollars</b><br>Bank of America N.A.<br>L34, Governor Phillip Tower<br>1 Farrer Place<br>Sydney NSW 2000<br>Australia<br>SWIFT Address: BOFAAUSX<br>BSB (Bank Code): 232-001<br>Account Name: abrdn SICAV I<br>Account Number: 14511040<br>Reference: abrdn SICAV I + Client<br>Name and/or Contract Number                               |
| <b>Swedish Krona</b><br>Bank of America N.A.<br>2 King Edward Street, London EC1A 1HQ<br>United Kingdom<br>SWIFT Address: BOFAGB22<br>IBAN: GB21 BOFA 1650 5023 7600 37<br>Account Name: abrdn SICAV I<br>Account Number: 23760037<br>Correspondent SWIFT: HANDSESS<br>Reference: abrdn SICAV I + Client Name and/or Contact Number                                  | <b>Chinese Renminbi</b><br>Bank of America N.A.<br>Hong Kong Branch, 17-F1 Devon House, 979 King's Road<br>Quarry Bay, Hong Kong<br>SWIFT Address: BOFAHKHX<br>Account Number: 82893038<br>Bank Sort Code: 055757<br>CNAP Code: 989584005500<br>Account Name: abrdn SICAV I<br>Reference: abrdn SICAV I + Client Name and / or Contract Number                        |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                         |

<sup>A</sup> Please note: dividend and redemption payments can only be made to a bank account in the same name(s) as detailed in section 1 on this application.

## 5 – Notes to Investors

1. If more than one person applies, all applicants will be considered joint applicants and joint shareholders. The rights attached to joint holdings must be exercised jointly by all holders unless they appoint, in writing, one of them to do so.
2. If the application form is signed by a financial adviser, a Power of Attorney or another proof of authorisation acceptable to the Transfer Agent should accompany this application form.
3. The investors must advise the Transfer Agent of all beneficial owners, if applicable.
4. Applicants must be 18 years of age or older.
5. Applications will not be accepted from or on behalf of a U.S Person (as defined in the Prospectus).
6. Any change in investor registration details must be communicated to the Transfer Agent in writing and signed by all relevant shareholders.
7. Please note that if you do not invest in the same currency as the currency of denomination of the relevant Fund, the Transfer Agent will arrange for the necessary foreign exchange to be performed at the expense of the investor. Normal banking charges will be included in the foreign exchange rate given. The currencies that we accept are US Dollars, Japanese Yen, Euro, Swiss Francs, British Pounds, Australian Dollars and Hong Kong Dollars.

Additional information in respect of the various methods of payment and the application procedure may be obtained from the Prospectus.

All cheques and banker's drafts must be made payable to abrdn SICAV I and sent to Aberdeen Investments Shareholder Service Centre, c/o International Financial Data Services Luxembourg S.A., 49, Avenue J. F. Kennedy, L-1855 Luxembourg, Grand Duchy of Luxembourg.

8. UK Reporting Status  
All Class I and Z shares (and the hedged versions thereof), as well as Class A and X shares denominated in GBP have been granted reporting fund status by the UK taxation authorities. The Board of Directors intends to apply for reporting fund status in respect of Class G and Class X shares denominated in GBP prior to their launch. The Board of Directors, at its discretion, may apply for reporting fund status in respect of other Share Classes. Once granted reporting fund status, the Share Class may rely on that status going forward subject to continued compliance with the requirements of the reporting funds rules. Details of reporting fund status having been granted will be highlighted in the abrdn SICAV I Annual Report and Accounts, within the section "Notes to the Financial Statements, Share Class Information". Additionally, the UK Reporting Fund Regime Report in respect of all Share Classes granted reporting fund status will be published on [www.aberdeeninvestments.com](http://www.aberdeeninvestments.com) within six months of the relevant accounting period, and can be requested in writing by contacting abrdn Investments Luxembourg S.A. at the Shareholder Services centre as detailed in the "Management and Administration" section of the Prospectus.

## 6 – Anti-Money Laundering and Counter Terrorism Financing

1. Any change in shareholder registration details must be communicated to the Transfer Agent in writing and signed by at least two authorised signatories.
2. The Applicant and its Agent undertake to comply with all laws and regulations applicable to the management of their clients and/or products and distribution of such products. Where the applicant is investing on behalf of a third party, it may be requested that they enter in to an agreement with the Global Distributor.
3. The Applicant must comply at all times with its obligations under the Anti-Money Laundering Laws and undertakes to assist abrdn Investments Luxembourg S.A. ("Aberdeen Lux") in fulfilling its own obligations under the Luxembourg Anti-Money Laundering Laws and regulations. The Applicant will not make investments, and acknowledges and agrees that Aberdeen Lux prohibits investments that are made directly or indirectly, in contravention of the Luxembourg Anti Money-Laundering Laws and specific international sanctions imposed by the United Nations Organisations, European Union or United States Treasury Department's Office of Foreign Asset Control ("OFAC").

The Applicant will promptly notify Aberdeen Lux in writing if it becomes aware of facts or information which suggest that a breach of this section 6 has occurred or is likely to occur, or if it is subject to any investigation by any governmental authorities or regulators with regards to any such actual or alleged breach.

### Data Protection

The below describes how your personal data will be processed be collected and used by abrdn SICAV I and the Management Company as joint controllers and Investment Managers Sub-Investment managers or any other company within Aberdeen Group plc, as processors collectively referred to here as "we", "our" and "us". We are committed to safeguarding any personal information shared with us. We take privacy seriously and as an investor in our funds you can be assured that we will only ever collect and use your personal information where it is necessary, fair and lawful to do so, in line with the privacy and data protection laws applicable to our business operations.

Information collected and used Information about you that is collected and used includes:

- Information about who you are in order to verify your identity and comply with Anti-Money Laundering Regulations e.g. your name, date of birth, national identifier/tax numbers, passport details, contact details, occupation, credit information and source of wealth
- Where you are investing on behalf of a company, or representing a company, information about you, the company, and your relationship
- Information connected to the product or service you have with us e.g. bank account details, email address, investment history
- Information about your contact with us e.g. meetings, phone calls, emails / letters
- Information that is automatically collected via cookies when you visit one of our websites or access our online tools, e.g. username, your activity on our website. Some information will only be collected where you have turned on the relevant cookies in our preference centre
- Information if you visit one of our offices e.g. visual images collected via closed circuit television (CCTV)
- Information classified as special category 'sensitive' personal information e.g. relating to your status as a PEP (politically exposed person) or concerning criminal convictions. This information will only be collected and used where it's required to provide the product or service you have with us, or to comply with our legal obligations, and where we have also obtained your explicit consent to process this information

#### Where we collect information

We will collect your personal information directly from you, and from a variety of sources, including:

- An application form for a product or service with us
- Phone conversations with us
- Emails or letters you send to us
- Meetings with us
- Registering for one of our events
- Participating in research surveys to help us understand you better and improve our products and services
- Our online services such as websites, and through our social media (if you engage with our marketing campaigns)
- External third parties who support us in verifying your identity and relationships with institutional and/or professional investors

We may also collect personal information about you from places such as business directories and other commercially or publicly available sources e.g. to check or improve the information we hold (like your address) or to obtain updated contact information if we are unable to contact you directly.

#### Why we collect and use your information

We take your privacy seriously and we will only ever collect and use information which is personal to you where it is necessary, fair and lawful to do so. We will collect and use your information only if we are able to satisfy one of the lawful processing conditions set out in the data protection laws. This will be the case where:

- It's necessary to perform the subscription agreement, or in order to take steps at your request prior to entering into the subscription agreement, including the performance of all services related to your investments as outlined in this Prospectus or the subscription agreement.
- It's necessary for us to meet our legal or regulatory obligations under the applicable fund and company laws e.g. to maintain the register of shareholders and recording orders; to do appropriate money laundering, screening and counter terrorist financing checks (AML-CTF); for the detection and prevention of crime and tax law; for conducting tax reporting (including without being limitative according to CRS / FATCA requirements as applicable); for conducting beneficial ownership declarations.

In the case of sensitive personal information, where we are doing so for reasons of substantial public interest, such as where we process your sensitive personal data for the purposes of the prevention of money laundering and terrorist financing.

- You have given us your permission consent to use your information e.g., for certain types of direct marketing (subject to an opt-out right at any time).
- It's in our legitimate interests to process your information to better understand you and your needs so we can:
  - Send you postal communications from time to time to make you aware of other investment opportunities, products and services proposed by or on behalf of abrdn SICAV I, and its third parties
  - Deliver appropriate information and guidance so you are aware of the options that may help you get the best outcome from your investments
  - Conduct research and collate management information to understand how investors have interacted with us, which products and services they have already purchased or invested in, and to help us send more relevant communications based on our analysis of investors' preferences and needs.
  - Compensate you as appropriate due to service, process or regulatory failures
  - Show you targeted ads for our products and services through social media channels
  - Conducting legal proceedings
  - Conducting negotiations of a sale, restructure or re-organisation of all or part of the fund

Where the processing is in our legitimate interests, we will always conduct an assessment to ensure that this use of your personal information is not excessive or unnecessary or otherwise more intrusive than it needs to be. If you do not wish us to collect and use your personal information in these ways, it may mean that we will be unable to provide you with some of our products or services.

#### Who we share your information with and why

We will be required to share your information with selected 3rd parties and other subsidiaries of Aberdeen Group plc for the reasons outlined in 'Why we collect and use your information'.

We will share your information with:

- Our Management Company, the Investment Managers, sub-Investment Managers, or any other subsidiaries of Aberdeen Group plc who support us in the provision of the services agreed with you, or the individual/company you represent
- Third parties / processors we have chosen to support us in the delivery of the products and services we offer to you and other customers, for example Transfer Agents, Depositories and Administration companies. The details of these parties are set out earlier in this prospectus
- Various technology companies, software suppliers, or companies who can help us in our contact with you, for examples an internet service provider
- Credit and identity check agencies for ID verification and credit reference checks

## 7 – Confidentiality and Data Protection – continued

- Our regulators
- Law enforcement and other appointed agencies who support us (or where they request the information) in the prevention and detection of crime; and
- Tax authorities (such as Administration des contributions directes in Luxembourg and the Inland Revenue Service in the US) for the purposes of tax relief (where relevant), tax reporting, or the prevention and detection of tax fraud and beneficial ownership registers
- Social media companies such as Facebook or LinkedIn, so that they can display messages to you and others about our products and services, or to make sure you are not sent information which is not relevant to you personally (for example, if you already have the Aberdeen product we want to advertise).
- Third parties in the negotiations of a sale, restructure or re-organisation of all or part of the fund e.g fund mergers or change in transfer agent.
- Third parties, where relevant, for the purposes of responding to complaints, including the payment of any compensation.

Please note that where we share your personal data with our selected third parties, they may require to retain some data in line with their own regulatory obligations.

### Where your information is processed

The majority of your information is processed in the UK or European Economic Area (EEA). However, some of your information may be processed by us, or the third parties we work with, in locations outside of the UK or the EEA. For a full list of these countries please refer to our website.

Where your information is being processed outside of the UK or the EEA, we take additional steps to ensure that your information is protected to at least an equivalent level as would be applied by UK or EEA Data Protection Laws e.g. we will put in place legal agreements with third parties and Aberdeen affiliates with ongoing oversight to ensure they meet these obligations.

You can obtain further information about our data transfers and safeguards implemented by contacting us as indicated below.

### How we protect your information

We take information and system security very seriously and we strive to comply with our obligations at all times. Any personal information which is collected, recorded or used in any way, whether on paper, online or any other media, will have appropriate safeguards applied in line with our data protection obligations.

Your information is protected by controls designed to minimise loss or damage through accident, negligence or deliberate actions. Our security controls are aligned to industry standards and good practice; providing a control environment that effectively manages risks to the confidentiality, integrity and availability of your information whether it is being processed by us or a third party acting on our behalf.

Our colleagues also protect sensitive or confidential information when storing or transmitting information electronically and must undertake annual training on this.

We also use internal and external audit and specialist third party consultants to conduct regular, independent assurance and benchmarking exercises across our business to ascertain the effectiveness of our security control environment and our security strategy.

### How long we keep your information

To provide you with the service or product agreed, and to fulfil our legal and regulatory obligations, we will keep your personal information and copies of records we create while you are a prospective investor.

Even when you no longer have a relationship with us, we are required to keep information for different legal and regulatory reasons. The length of time will vary and we regularly review our retention periods to make sure they comply with all laws and regulations. We can for instance retain your personal information for a subsequent period of

ten (10) years where necessary to comply with applicable laws and regulations, and/or to establish, exercise or defend actual or potential legal claims.

We, including our service providers, may record communications where the law requires us to do so. We, including our service providers, may monitor communications, where required to do so, to comply with regulatory rules and practices and, where permitted to do so, to protect our respective businesses and the security of our respective systems including (i) for record keeping as proof of a transaction or related communication in the event of a disagreement, (ii) for processing and verification of instructions, (iii) for investigation and fraud prevention purposes and, (iv) to enforce or defend our interests or rights in compliance with any legal obligation to which we are subject. Such recordings may be produced in court or other legal proceedings and permitted as evidence with the same value as a written document.

### Your individual rights and how to exercise them

You have a number of rights under data protection laws which may be exercised in certain circumstances. These include:

- Right to request access to your personal information
- Right to request that inaccurate or incomplete information be corrected
- Right to request deletion of your Personal data, where you have provided your consent to the processing, or where it has been carried out in our legitimate interests
- Right to request a restriction on, or objection of, the processing of your personal data where it is being carried out with your consent, or on the basis of our legitimate interests.

To exercise these rights, please email [DPOOffice@aberdeenplc.com](mailto:DPOOffice@aberdeenplc.com). Or write to: abrdn Investments Luxembourg S.A., FAO DP Office, 35a, avenue John F. Kennedy, L-1855 Luxembourg. If you have any concerns with how we have processed your personal data you have a right to lodge a complaint with the relevant data protection supervisory authority, in particular in the Member State of your habitual residence (i.e. in Luxembourg, the Commission Nationale pour la Protection des Données – [www.cnpd.lu](http://www.cnpd.lu)).

## 8 – Individual Self Certification

Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with Luxembourg Tax Authorities. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly.

### Tax residency

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below.

| Country/Countries of Tax Residency: | Tax Reference Number: |
|-------------------------------------|-----------------------|
|                                     |                       |
|                                     |                       |
|                                     |                       |
|                                     |                       |

Please provide more details if you are exempt from tax residency rules:

## 9 – KID/KIID Declaration

The following information and declaration applies to all investors resident in an EU/EEA State, Switzerland (each a "KID Country") or UK (a "KIID Country"):

You must have received and read the current Key Information Document ("KID") or Key Investor Information Document ("KIID") before you make any investment in shares, or exchange of shares.

I/We acknowledge that KID(s)/KIID(s) can be obtained from the following website: [www.aberdeeninvestments.com](http://www.aberdeeninvestments.com). If obtaining a KID/KIID and/or the Prospectus from either of these websites I/we hereby consent to receipt of the applicable KID(s)/KIID(s) and/or the Prospectus electronically from the applicable website/s. I/we confirm that I/we understand that KIDs/KIIIDs may be updated from time to time, including the KID(s)/KIID(s) that apply to my/our investment.

I/We understand that a KID/KIID shall be available in respect of each share class authorised for sale in the KID/KIID country that is applicable to my/our investment, and in a language approved for use in such country. I/we confirm that I/we have received and read the current relevant KID/KIID for each share class in which I/we are currently investing. I/we further acknowledge that for any future investment or conversion, I/we will have received and read the applicable KIDs/KIIIDs prior to making such an investment or exchange of shares.



**MANDATORY – By ticking this box I/we confirm that I/we have read and agree to the above declarations and consent under this section (9. – KID/KIID Declaration).**

## 10 – Declaration

Please note failure to complete the relevant tick boxes will result in your application being rejected.

**A. Please read and tick the boxes to agree with the three below statements**

- ☐ I/We agree that my/our application to subscribe to the number of shares/amount shown in this application form is made only on the basis of the terms contained in the latest published Prospectus for abrdn SICAV I and the most recent Annual Report and Accounts and the subsequent Interim Report and Accounts if published.
- ☐ I/We confirm that the shares are not being acquired/sold/transferred or otherwise disposed directly by/to either directly by a U.S Person (as defined in the Prospectus), or on behalf of a U.S. Person, or by any other person restricted by the law of any relevant jurisdiction from acquiring the shares.
- ☐ I/We acknowledge the information disclosed in section 7.

**B. Please read and tick the applicable box to agree with one of the below statements**

- ☐ I/We confirm that we are the end Beneficial Owner of the shares subscribed.
- ☐ I/We confirm that we are not the end Beneficial Owner of the shares subscribed and will provide/have provided all relevant identification documentation relating to the end Beneficial Owner upon request.

**C. Please read and tick the boxes to agree with the four below statements**

- ☐ I/we declare that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.
- ☐ I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.
- ☐ I undertake to advise the recipient of any change in circumstances which causes any of the information contained in this form to be incorrect and to provide a suitably updated self-certification and declaration with 30 days of such change in circumstances.
- ☐ I/We consent to the processing of my/our Personal Data (as defined in section 7 "Confidentiality and Data Protection") for the purpose of direct marketing activities (by electronic communications means such as email, SMS or internet, or otherwise, including post), notably to provide me/us with general or personalised information about investment opportunities, products and services proposed by or on behalf of abrdn SICAV I, its service providers, delegates and business partners.

**D. Please read the below statement and if applicable ensure the box is ticked. If not applicable please leave blank.**

- ☐ I hereby declare that I am or I have been entrusted with prominent political or public function, or I am an immediate relative of a person that holds or has held any political or public function (e.g. government officials, politicians, judicial or military officers). If yes, please specify:

## 11 – Signatures of Applicants

The original of this application form is required to fully register your application with the fund. Changes to registration, bank account details, and share transfer requests must be made by written request by the below authorised signer(s).

|                |             |       |
|----------------|-------------|-------|
| 1st Signature: | Print Name: | Date: |
| 2nd Signature: | Print Name: | Date: |
| 3rd Signature: | Print Name: | Date: |
| 4th Signature: | Print Name: | Date: |

For more information visit [aberdeeninvestments.com](http://aberdeeninvestments.com)

abrdn Investments Luxembourg S.A. 35a, Avenue J.F. Kennedy, L-1855 Luxembourg. R.C.S. B120637. Authorised in Luxembourg and regulated by CSSF.