

**Regular Investment Plan Application/Revocation Form**

Date.....

Unitholder(s) Name ..... Unitholder(s) No. ....

Mobile no. .... (Please specify your mobile number so Aberdeen would inform the effective date of apply/voke Regular Investment Plan and transaction confirmation via SMS.)

I/We would like to apply for Regular Investment Plan with the following details;

Frequency*	Fund name	Investment amount for each month (THB)**	First investment date***

\*Please specify the investment frequency: Weekly, Monthly, Quarterly, Semi-annual, Annual.

\*\* Subject to minimum subscription 1,000 THB of each respective fund and you **could not have duplicate Regular Investment Plan.**

Details of Bank account for Direct debit service Bank ..... Branch ..... Type of bank account .....

Account name ..... Account No. ....

You can choose the bank for transaction debited by Direct debit through Bangkok Bank, Bank of Ayudhaya, Siam Commercial Bank and Kasikorn Bank. Please also attach with Direct Debit form and copy of passbook.

The Company will make direct debit by 3.30 pm on the subscription date but the transaction may not be excuted on a sequential basis as stated above. The Company will notify the result of your transaction via SMS.

\*\*\* If your selected date is falling on a public holiday, the company will proceed your transaction on the next business day.

I/We would like to revoke for Regular Investment Plan with the following details;

Frequency*	Fund name	Investment amount for each month (THB)**	Cancellation date***

Details of Bank account for Direct Debit service Bank ..... Branch ..... Type of bank of bank account .....

Account Name ..... Account No.....

**1. The important conditions for investment (please choose below)**

• **Investor's risk level is classified with the invested fund. (please choose below)**

I/we have received and understood the investment advice from the management company and/or its distributors.

• **Investor's risk level is not classified with the investment fund. (Please choose below)**

The fund stated above is the fund with the risk level higher than my/our risk profile whereby the management company and/or its distributors have provided me/us with additional explanation on risk level of such fund and I/we understand and accept risk involve with such investment.

• **Investor who invests in Foreign Investment Funds (FIF) please choose below to accept the condition.**

I/we have acknowledge, understood and accepted that my investment in the fund stated above is a foreign investment fund is subject to currency risk and may get lower return than the amount initially invested.

**I have received and understood the fund performance comparison of the fund I wish to invest.**

Subscriber signature x.....

## 2. For unitholders of Retirement Mutual Funds / Long Term Equity Funds set up before 1 January 2020 / Super Savings Funds

In case of a fund dissolution, I/We accept and agree that the Management Company shall transfer my/our investment units to other Retirement Mutual Funds / Long Term Equity Funds set up before 1 January 2020 / Super Savings Funds in accordance with the criteria and procedure as specified in the Fund project.

In case the investor receives unit allotment, the investor acknowledges and agrees to be bound by the fund project approved by the Office of the SEC and any subsequent lawful amendment including the commitment between the asset management company and unitholders legally prepared and executed by the fund trustee.

I, the subscriber, acknowledge that investment in a foreign investment fund (FIF) is subject to currency risk and may get a return lower than the amount initially invested.

This application shall be deemed as the standing subscription order. The Monthly Investment Plan application shall be executed when Aberdeen Asset Management (Thailand) Ltd. ("Company") is notified by the relevant banks of the approval for direct debit service. The Company shall inform the application of service activation by SMS via mobile phone and send the letter by post. The standing Subscription order shall be valid until it has been revoked/amended by a written notice sent to the Company in advance not less than 7 working days prior to the revocation/amendment date. If the applicant would like to revoke/amend, you have to submit a written notice to the Company in advance not less than 7 working days prior to the subscription date and the Company will revoke/amend your Monthly Investment Plan in the current month. If the applicant submit a written notice to the company in advance but less than 7 working days prior to the subscription date, the Company will revoke/amend your Monthly Investment Plan in the next following month. The revocation/amendment of your Monthly Investment Plan will be confirmed by the Company.

In case the relevant banks inform the Company that the deduction for the subscription payment could not be made from the specified bank account for any reasons, the company shall immediately cancel the subscription order for such installment and will not deduct the money retroactively. And, if the deduction for the subscription payment could not be made for 3 consecutive months, the company reserves the right to cancel this service and the applicant has to re-apply should he/she wishes to use this service again.

In case the unitholders indicate the intention to subscribe transactions which the Company has already deducted the money from my bank account in any circumstances and accept and agree to be bound by all terms and conditions specified in the Fund's Prospectus. I/We have also received the Investor's bill of rights, the Fund's Prospectus and tax manual which contain material information for investor. I/We also have read and understood the investor's manual on the back of this form.

**Warning :** Mutual funds are not deposit and are subject to investment risk. The value of investments and the income from them may fall as well as rise, and you are not guaranteed to get the money redemption or investment units could be sold within the specified period. There is also a risk that your redemption proceed are delayed or denied. Investors should subscribe/redeem unit through organization and/or person that has been approved or accredited by the office of the SEC.

The Company may charge the front-end fee to group of investors or each investor on a different rate. More details can be found at out notice board or the subscription and redemption service providers or [abrdn.com/tha](http://abrdn.com/tha) or contact our client services.

### Applicant/Unitholders

x.....

(.....)

Date.....

### Official use only

For Distributor

For Registrar

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signature/Date

signature/Date



## Investor's Rights

1. You have the right to be informed of any information regarding the asset management company that your service provider represents.
2. You have the right to request for the name and address of the asset management company, service provider as well as their contacting officers.
3. You have the right to be informed of risk involving with investing in investment units with recommendation, warnings and explanation on risks in investing in investment units including warning in case you are refuse to provide information or giving the updated information.
4. You have the right to request for any information that may materially affect your benefits as a unitholder and/or investment decisions. For example, whether the fund you wish to invest in is due the merge with another mutual fund or not.
5. You have the right to be informed of any information regarding conflict of interest issues. For example, fees or other incentives that service provider may receive from subscription or redemption of units.
6. You have the right to reject any "cold calling\*" contact.
7. In the event that you decide to invest in mutual fund via a cold calling contact, and you are not an institutional investor (as defined by the SEC), you have the right to cancel the subscription or redeem the units in that fund if it is within the period specified by law.
8. You have the right to request for the fund prospectus from the asset management company or the service provider.

\*When the asset management company, underwriter or service provider contact new customers to convince them to invest in mutual fund for the first time, usually unsolicited.

## How To file customer complaint

The unitholder may file a complaint about the business operation of the asset management company, underwriter, service provider (corporate or individual), both verbally and in writing. The unitholders may file their complaints to any of the following venues.

### 1. Company

Aberdeen Asset Management (Thailand) Ltd.

28<sup>th</sup> FL., Bangkok City Tower, 179 South Sathorn Road, Thungmahamek, Sathorn, Bangkok 10120

Telephone Number 0-2352-3338

The comments can be made verbally or in writing. A verbal complaint will be recorded in written form and will be verified/certified by the unitholder. Aberdeen Standard Asset Management (Thailand) Limited has a duty to resolve the issue for unit holders as well as send the complaint form along with the resolution to the Office of the Securities and Exchange Commission every quarter.

The filing must be done within 15 days after the end of the quarter.

### 2. Underwriter and Service Provider

The comments can be made verbally or in writing. A verbal complaint will be recorded in written form by the service provider and must be verified/certified by the unitholder. If service provider is able to resolve the issue, the complaint form, as well as the resolution, will be submitted to Aberdeen Asset Management (Thailand) Ltd. within 7 days after the issue has been resolved

### 3. The office of Securities and Exchange Commission (SEC) (Help Center)

15<sup>th</sup> FL., Diethelm Tower B, 93/1 Wireless Road, Lumpini, Patumwan, Bangkok 10330

Telephone Number 02 263 6000

The Office of the SEC will pass on the complaint to Aberdeen Asset Management (Thailand) Ltd., who will resolve the issue and report the resolution back to the Office of the SEC within 30 days from the day that the complaint was received. If the issue is not resolved, Aberdeen Asset Management (Thailand) Ltd. has a duty to submit the progress report to the Office of the SEC every 30 days until the issue is resolved. After the issue is resolved Aberdeen Asset Management (Thailand) Ltd. or the service provider will report the resolution to the unitholder in written form.