# IRA Transfer of Assets Form

aberdeen Investments

*Please use a pen and print clearly in CAPITAL LETTERS. If you don't have an existing abrdn IRA, please also fill out a Retirement Account Application. If you are transferring IRA assets from more than one retirement plan account, complete a separate Transfer of Assets form for each transfer.*

## Section 1 - Account Holder Information

Individual (FirstName/Initial/LastName)

Fund / Account Number

/ / Date of Birth (m/d/yyyy)

- - Social Security Number

Legal Residence (No P.O. Boxes)

City State ZIP

Daytime Phone Number

## Section 2 - Information About the IRA You Are Transferring

Firm holding your IRA

Name on the account

Account Number

Street Address

City State ZIP

Name of Contact

Contact's Phone Number

***Please Attach a Current Statement for the Account Being Transferred***

## Section 3 - Type of Account you are Transferring

☐ Traditional IRA ☐ Rollover IRA ☐ Roth IRA ☐ Roth IRA Conversion ☐ Beneficiary IRA

## Section 4 - Transfer Instructions

☐ This is a new account; a completed IRA Account Application is attached. ☐ The proceeds of this transfer will purchase shares into my existing account as listed below.

## Section 5 - Transfer Allocations

Total allocations must add up to 100%. Class A shares will be purchased if no share class or fund number is indicated.

| Fund name | Class of shares | % | Fund code | Account number |
|---|---|---|---|---|
| Fund name | Class of shares | % | Fund code | Account number |
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## Section 6 - Transfer Instructions to the Financial Institution Currently Holding your IRA

Check one:

☐ Transfer full balance

☐ Transfer only $ ☐

☐ Transfer In Kind

Check one:

☐ Liquidate immediately

☐ Liquidate at maturity ☐ / ☐ / ☐

***Note: If you are transferring a Certificate of Deposit (CD), mail this form at least 14 days, but not more than 21 days before the maturity date***

## Section 7 - Withholding Instructions for Roth Conversion IRA

When converting all or a portion of your IRA to a Roth Conversion IRA, the conversion amount is a taxable distribution. IRS regulations require the financial institution currently holding your IRA to withhold federal income tax from the amount you convert unless you do not want withholding to occur. The minimum withholding rate is 10%. Indicate your withholding election below.

☐ Withhold 10% ☐ Withhold % ☐ ☐ Do not Withhold

## Section 8 - Participant's Signature

To the current Trustee or Employer:

I have appointed UMB Bank, N.A. as the Custodian of my IRA and authorize you to forward the proceeds of my retirement plan to UMB Bank, N.A. Please send any documents or records needed by the new Custodian to complete the rollover.

Investor's Signature

☐ / ☐ / ☐

Date (m/d/yyyy)

A medallion signature guarantee may be obtained from a commercial bank, savings and loan association, credit union, or broker-dealer. We regret a notary public is not an acceptable guarantor. Please call 1-866-667-9231 for assistance.

Signature Guarantee – *If required by the firm currently holding your IRA*

## Section 9 – Custodian's Acceptance – UMB Bank, N.A.

UMB Bank, N.A. agrees to accept transfer of the above amount for deposit to the Depositor's UMB Bank, N.A. Individual Retirement Custodial Account, and requests the liquidation and transfer of assets as indicated above.

See attached Letter of Acceptance for the signature of an authorized officer of the custodial agent.

**Return by mail:**
abrdn Funds
P.O. Box 219534
Kansas City, MO 64121-9534

**Return by express delivery:**
abrdn Funds
801 Pennsylvania Ave, Suite 219534
Kansas City, MO 64105-1307

**For more information:**
abrdn Funds
866-667-9231
aberdeeninvestments.com/en-us

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